**Paper 2: Institutions and Development**

Paper outline: 2021-2022

Paper Coordinator: Dr Shailaja Fennell

Lectures: 9.00-11.00am, Thursday

Discussion classes: 10.00am-11.00am, 11.00am-12.00am, 12.00am-1.00pm, Monday

Paper Description

This paper explores the role of institutions in establishing formal and informal rules in social, economic and environmental aspects of development at multiple scales. It places people at the centre of development and examines the relationship between the individual and collective; private and public. Lectures are structured around core themes of historical change, to examine the role of the state and markets through using the key concepts of transaction and transition costs in the Michaelmas term. In the Lent term, lectures are structured around core themes of institutional change, to examine the role of local communities and of international organisations, using the key concepts of institutional design and global agendas.

**Background Readings**

Chambers, R., 1997. *Whose Reality Counts: Putting the Last First.* Practical Action.

Fennell, S. 2010. *Rules, Rubrics and Riches: the interrelationship between the legal reform and international development*, Routledge.

Hickey, S., Sen, K. and Bukenya, B. (eds.) 2014. *The Politics of Inclusive Development: Interrogating the Evidence*. Oxford University Press

North, D.C., 1990. *Institutions, institutional change & economic performance*, Cambridge University Press.

Ostrom, E., 1990. *Governing the Commons: The Evolution of Institutions for Collective Action*, Cambridge University Press.

Polanyi, K, (1944) 2001. *The Great Transformation, The Political and Economic Origins of our time*, Beacon press, Boston, 2001 edition

World Development Report, 2016. *Digital Dividends.*

World Development Report, 2019. *The Changing Nature of Work.*

**Teaching format**

The lectures will be held on Thursdays, from 9.00am-11.00am, and will run alongside weekly discussion classes, which will be held on Monday mornings. The purpose of these interactive and participatory sessions is to develop analytical, research and presentation skills and to facilitate understanding and application of course material. The readings for the lectures and classes are provided below (please note if there are chapters to be read that are not available electronically, the reading will be uploaded to Moodle).

**Michaelmas Term**

|  |  |
| --- | --- |
| Institutional Rules and Development Trajectories (7th October) |  |
| History, Colonialism, & development outcomes (14th October) |  |
| NIE, political settlements & markets (21st October) |  |
| Land rights and food production systems (28th October) |  |
| Collective Action and Common Pool Resources (4th November) |  |
| Race, caste and hierarchies of development (11th November) |  |
| States and social welfare (18th November) |  |
| Education and Inequality (25th November) |  |

**Lent Term**

Thinking Differently: Top Down or Bottom Up? (21st January)

Livelihoods and Communities (28th January)

Informality and Markets (4th February)

Networks, Information and Employment (11th February)

Institutional Reform and International Organisations (18th February)

Health and Well-Being (25th February)

Biodiversity, Climate Change and Sustainability (4th March)

International Agendas and Institutional Change (11th March)

Lecture Outline

**Lecture 1: Institutional Rules and Development Trajectories**

Lecturer: Dr. Shailaja Fennell (Thursday, 7th October, 9-11am)

This lecture will explore the features of an institution. It will set out how rules are set and the kinds of rule-making that are undertaken by institutions. It will then examine the implications of these rules for the path of development undertaken in different economies and societies. It will then move to an analysis of how these rules operate in firms and community groups.

Alchian, A., H. Demsetz. 1972. Production, Information Costs, and Economic Organisation, *American Economic Review* 62, 777-795.

Bates, R., Macropolitical Economy in the Field of Development in (eds.) Alt, J., and K. Shepsle, *Perspectives of Positive Political Economy,* Cambridge University Press.

Evans, P., 2004. Development as Institutional Change: the Pitfalls of Monocropping and Potentials of Deliberation, *Studies in Comparative International Development*, 38 (1), 30-52.

Hirschman, A., 1967. Project Design: Trait Taking and Trait Making, in *Development Projects Observed*, The Brookings Institution, Washington D. C.

Hodgson, G., What Are Institutions? *Journal of Economic Issues*, XL (1) 1-25.

<https://doi.org/10.1017/CBO9780511571657>

Putterman, L., 1995. Markets, Hierarchies and Information: On a Paradox in the Economics of Organisation, *Journal of Economic Behaviour and Organization*, 26, 373-390.

Rodrik, D., A. Subramanian, and F. Trebbi. Institutions Rule: The Primacy of Institutions over Geography and Integration in Economic Development, *Journal of Economic Growth,* 9, 131-165, <https://link.springer.com/article/10.1023/B:JOEG.0000031425.72248.85>

Searle, 2005. What is an Institution? *Journal of Institutional Economics*, 1, 1-22

Discussion Class readings

Ostrom, E., 1986.An Agenda for the Study of Institutions, *Public Choice*, 48, 3-25.

Olson, M. 1974. *The Logic of Collective Action*. Harvard University Press.

**Lecture 2: History, Colonialism and Development Outcomes**

Lecturer: Dr. Shailaja Fennell (Thursday, 14th October, 9-11am)

This lecture will examine the impact of historical institutions on current day economic development. In particular, there will be an examination of forms of imperial engagements such as colonialism, on twentieth century forms of economic development. It will examine a range of approaches to the evaluation of the impact of colonialism. There will be an analysis of why these accounts differ and what it tells us about disciplinary differences regarding an understanding of institutions.

Acemoglu, D. and J. A. Robinson, [*Why Nations Fail: The Origins of Power, Prosperity, and Poverty*](http://www.amazon.com/gp/product/0307719219/ref=as_li_ss_tl?ie=UTF8&tag=fopo-20&linkCode=as2&camp=1789&creative=390957&creativeASIN=0307719219)*.* Ch 14 and Ch 15

Heldring, L., and J. Robinson, Colonialism and Development in Africa, in Lancaster, C., and N. van de Walle, [The Oxford Handbook of the Politics of Development](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199845156.001.0001/oxfordhb-9780199845156)

Bayly, C. A., *The Birth of the Modern World, 1780-1914: Global Connections and Comparisons*, Wiley-Blackwell.

Herdt, M., and A. Negri. 2001. *Empire*. Harvard University Press.

Lewis, W. A., 1982. Colonial Relations, in *Racial Conflict and Economic Development*, Harvard University Press. Downloadable through your Raven account.

Bagchi, A. K., 1976. De‐industrialization in India in the nineteenth century: Some theoretical implications, *The Journal of Development Studies*, 12:2, 135-164, DOI: 10.1080/00220387608421565

Gooptu, N., 2012. The Political Legacy of Colonialism in South Asia DOI:10.1093/acprof:oso/9780199259885.003.0014 in Peers, D. M., and N. Gooptu, (eds.) *India and the British Empire*, Oxford University Press.

Discussion Class readings

Kohli, Atul. "Where do high growth political economies come from? The Japanese lineage of Korea's “developmental state”." *World Development* 22.9 (1994): 1269-1293.

Haggard, Stephan, David Kang, and Chung-In Moon. "Japanese colonialism and Korean development: A critique." *World Development* 25.6 (1997): 867-881

**Lecture 3: NIE, political settlements & markets**

Lecturer: Dr. Shailaja Fennell (Thursday, 21st October, 9-11am)

This lecture examines the emergence of New Institutional Economics. The main focus of the lecture is to understand the key concept of transaction costs and its contributions to institutional analysis. The lecture will draw on this concept to analyse the costs of market exchange as well as the costs of government action. The frameworks of political economy and public choice will be introduced and the manner in which each framework undertakes an institutional analysis.

North, D.C. (1990). *Institutions, institutional change and economic performance*. Cambridge University Press.   
https://doi.org/10.1017/CBO9780511808678

Williamson, O., E. 2000. "The New Institutional Economics: Taking Stock, Looking Ahead." *Journal of Economic Literature*, 38 (3): 595-613.DOI: 10.1257/jel.38.3.595

Krueger, Anne O. 1990. "Government Failures in Development." *Journal of Economic Perspectives*, 4 (3): 9-23.DOI: 10.1257/jep.4.3.9

Krozner, R., and L. Putterman. 2009. The Economic Nature of the Firm, Cambridge University Press, <https://doi.org/10.1017/CBO9780511817410> (particularly chapter 7 by Coase)

Khan, M., Governance Capabilities and the Property Rights Transitions, <https://eprints.soas.ac.uk/9966/1/Property-Transitions.pdf>

World Development Report, 2017. Governance and the Law

<https://www.worldbank.org/en/publication/wdr2017>

Discussion Class readings

[Atul Kohli, 'States and Economic Development', in *The Oxford Handbook of Historical Institutionalism*](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199662814.001.0001/oxfordhb-9780199662814-e-9)

Williamson, O., 2002. The Theory of the Firm as Governance Structure: From Choice to Contract, *The Journal of Economic Perspectives* Vol. 16, No. 3 (Summer, 2002), pp. 171-195, <https://www.jstor.org/stable/3216956>

**Lecture 4: Land rights and food production systems**

Lecturer: Dr. Shailaja Fennell (Thursday, 28th October, 9-11am)

This lecture will examine the ownership of land, and the impacts of institutional shifts in land rights regimes. It will examine the impact on poverty on agricultural production methods and the consequences of both large and small land holdings on the politics of land ownership as well as the tools of identifying land ownership. There will also be an examination of the relationship between the size-productivity of land and on the implications for the incomes and occupations on rural labour.

Gaurav, S., and S. Mishra, 2015. Farm size and returns to cultivation in India: Revisiting an old debate. *Oxford Development Studies*, *43*(2), 165-193.

Hall, R., Scoones, I., & Tsikata D. (2017) Plantations, outgrowers and commercial farming in Africa: agricultural commercialisation and implications for agrarian change, *Journal of Peasant Studies*, Vol 44, Issue 3, Pages 515-537 | Published online: 16 Mar 2017.

Haswell, M., 1974. Farmer Options in Low-Income Rural Areas in the Tropics, *Experimental Agriculture*, 10, 281-287.

Leys, C., 1971. Politics in Kenya: The Making of a Peasant Society, *British Journal of Political Science*, Jul., 1971, Vol. 1, No. 3 (Jul., 1971), pp. 307-337. <https://www.jstor.org/stable/193391>

Moyo, S., Land Reform in Zimbabwe since 1980, in Moyo, S., and Land and Agrarian Reform in Zimbabwe: Beyond White Settler Capitalism, <https://codesria.org/spip.php?article1779>

Nelson, R., and R. Coe, Agroecological Intensification of Smallholder Farming**,** in Herring, J. R (ed.) Oxford Handbook of Food, Politics and Society, DOI: 10.1093/oxfordhb/9780195397772.013.006

Salcedo, S., F. Soto-Baquero, J. Graziano Da Silva, R. Castañeda Sepúlveda, and S. Gómez Echenique, Agricultural and Rural Development, (eds.) J. Antonio Ocampo and Jaime Ros,2012, [The Oxford Handbook of Latin American Economics](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199571048.001.0001/oxfordhb-9780199571048)

Thipe, T., 2020. Land and Reparation Politics and Policy after Apartheid, (ed.) D. Magaziner, [The Oxford Handbook of South African History](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780190921767.001.0001/oxfordhb-9780190921767)

World Development Report, 2008. Agriculture for Development, <https://openknowledge.worldbank.org/handle/10986/5990>

Discussion Class readings

Atwood, D. A. (1990). Land registration in Africa: The impact on agricultural production. *World development*, *18*(5), 659-671.

Ali, D. A., Deininger, K., Mahofa, G., & Nyakulama, R. (2019). Sustaining land registration benefits by addressing the challenges of reversion to informality in Rwanda. *Land Use Policy*, 104317.

**Lecture 5: Collective Action and Common Pool Resources**

Lecturer: Dr. Shailaja Fennell (Thursday, 4th November, 9-11am)

This lecture will focus on institutional responses to the problem of collective action. There will be an examination of a variety of frameworks addressing collective action in the context of Common Pool Resources with a focus on Ostrom’s Institutional Analysis and Development (IAD) and her Socio-Ecological Systems framework (SES). Using several real-world case studies, the use of tools of institutional analysis for ecological systems will be deployed.

Agarwal, A., 2003. Sustainable Governance of Common-Pool Resources: Context, Methods, and Politics, *Ann. Rev. Anthro*. 32: 243-262.

Epstein, G., et. al. 2013. Missing ecology: Integrating ecological perspectives with the social-ecological system framework, *International Journal of the Commons*, 7: 432-453

Heckbert, S., et. al., Growing the Ancient Maya Social-Ecological System from the Bottom Up, (eds.) C. Isendahl and D. Stump, [The Oxford Handbook of Historical Ecology and Applied Archaeology](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199672691.001.0001/oxfordhb-9780199672691)

McGinnis, M. D., and E. Ostrom, 2014. Moving beyond panaceas: a mutli-tiered diagnostic approach for socio-ecological analysis, *Environmental Conservation*, 37, 451-463.

Ostrom, E., 2007. “A diagnostic approach for going beyond panaceas.” *PNAS* 104(39).

Ostrom, E., 2007. Collective Action Theory, in (eds.) Box, C., and S. Stoles, *Oxford Handbook of Comparative Politics*, 186-208. Oxford University Press.

Scoones, I., 2009. “Livelihood perspectives and rural development.” *The Journal of Peasant Studies* 36(1).   
Schlager, E., and E. Ostrom, 1992. Property Rights Regimes and Natural Resources: A Conceptual Analysis, *Land Economics*, 68, 249-262.

Discussion Class readings

Gibson, C. C., Williams, J. T., & Ostrom, E. (2005). Local enforcement and better forests. *World Development*, *33*(2), 273-284.

Hinkel, J., Bots, P. W., & Schlüter, M. (2014). Enhancing the Ostrom social-ecological system framework through formalization. *Ecology and Society*, *19*(3).

**Lecture 6: Race, caste and hierarchies of development**

Lecturer: Dr. Shailaja Fennell (Thursday, 11th November, 9-11am)

This lecture will address the role of hierarchies in the working of society and economy. There will be an examination of the operation of race and caste hierarchies in the labour market, as well as a review of the impact of these institutional forms for economic and social development. This will be followed by a review of the construction of other socially differentiating hierarchies, such as ethnicity, to examine how the mechanisms of exclusion that result from such classificatory systems, and the implications for national development outcomes.

Akerlof, G.A.,  1976, '[The economics of caste and of the rat race and other woeful tales](http://uk.jstor.org/journals/00335533.html)', *Quarterly Journal of Economics*, 90(4): 599-617.

Akerlof, George and Rachel E. Kranton, 2000, '[Economics and identity](http://uk.jstor.org/journals/00335533.html)', *The Quarterly Journal of Economics* 115(3): 715-53.

Beteille, A., 1991, [*Society and politics in India: essays in a comparative perspective*](http://depfacfm-newton.lib.cam.ac.uk/cgi-bin/Pwebrecon.cgi?DB=local&Search_Arg=society+and+politics+in+india&SL=None&Search_Code=TALL&CNT=25&HIST=1), Athlone Press.

Darity, A. 2018. [Fifty years after the Kerner Commission report: Place, housing, and racial wealth inequality in Los Angeles](https://scholars.duke.edu/individual/pub1358376) *Rsf: the Russell Sage Foundation Journal of the Social Sciences*

Darity, A., 2017. [The role of race, ethnicity and tribal enrolment on asset accumulation: an examination of American Indian tribal nations](https://scholars.duke.edu/individual/pub1165440) *Ethnic and Racial Studies*

Mosse, D., 2018. Caste and Development: Contemporary Perspectives on a structure of discrimination and advantage, *World Development*, 110, 422-436

[Paul Frymer, 'Citizenship and Race', in *The Oxford Handbook of Historical Institutionalism*](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199662814.001.0001/oxfordhb-9780199662814-e-21)

Rex, J., Empire, Race and Ethnicity, [https://doi.org/10.1177/0020715204049591](https://doi.org/10.1177%2F0020715204049591)

Discussion Class readings

Hooker, Juliet. "Black Lives Matter and the paradoxes of US Black politics: From democratic sacrifice to democratic repair." *Political Theory* 44.4 (2016): 448-469.

Nunnally, Shayla C. "(Re)Defining the black body in the era of Black Lives Matter: the politics of blackness, old and new." *Politics, Groups, and Identities* 6.1 (2018): 138-152.

**Lecture 7: States, Fiscal policies and Social welfare**

Lecturer: Dr. Shailaja Fennell and Dr. Javier Gonzalez Dias (Thursday, 18th November, 9-11am)

This lecture will examine the relationship between fiscal policies and social welfare regimes. It will examine the presence of income and asset inequality and the resulting distributional struggles that shape the role of the state and the market. In particular, this lesson discusses the role and evolution of tax policies over the last century and the different welfare state regimes that exist around the world.

Cárdenas, M. & Perry, G. 2011. Fiscal Policy in Latin America in J.A. Ocampo & J. Ros (Eds.), The Oxford Handbook of Latin American Economics. Oxford: Oxford University Press. Only pages: 266-285.

Esping-Andersen, G. (1990). *The Three Worlds of Welfare Capitalism*. Cambridge: Polity Press & Princeton: Princeton University Press.

González, J. (2020). ‘The Political Economy of Inequality in Chile: Historical Institutions, Taxation and Elite Power’. In Anand, P. B., S. Fennell and F. Comim (eds), *The Oxford Handbook of BRICS and Emerging Economies*. Oxford University Press

Midgley, J., 2008. Colonialism and Welfare, A Post-Colonial Commentary, [Journal of Progressive Human Services](https://www.tandfonline.com/toc/wphs20/current)Volume 9.

Pribble, J. (2013). Welfare and party politics in Latin America. Cambridge University Press.

Scheve, K. and Stasavage, D. 2016. *Taxing the Rich: A History of Fiscal Fairness in the United States and Europe*. Princeton University Press.

Discussion Class readings

Bhambra, G., and J. Holmwood, 2018. Colonialism, postcolonialism and the liberal welfare state. *New Political Economy*, 23 (5). pp. 574-587.

Tillin, L. and J. Duckett, 2017. The politics of social policy: welfare expansion in Brazil, China, India and South Africa in comparative perspective, [*Commonwealth & Comparative Politics*](https://www.tandfonline.com/toc/fccp20/current)Volume 55, 3, 253-277, <https://doi.org/10.1080/14662043.2017.1327925>

**Lecture 8: Education and Inequality**

Lecturer: Dr. Shailaja Fennell and Dr. Javier Gonzalez Dias (Thursday, 25th November, 9-11am)

This lecture will discuss competing economic and sociological schools of thought regarding the role of education on the reproduction of social inequalities. It will also examine the role of markets and public-private partnerships in education, and the effect of competition among schools over quality, equality and social inclusion.

Bourdieu, P. and Passeron, J.C. (1990). Reproduction in Education, Society and Culture. London: SAGE Publications.

Bowles, S. and Gintis, H. (1975). “The Problem With Human Capital Theory- A Marxian Critique”, American Economic Review, 65: 74-82.

González, J. (2017). ‘Putting Social Rights at Risk: Assessing the Impact of Education Market Reforms in Chile’. En B.S. Ndimande & C. Lubienski (Eds.) Privatization and the Education of Marginalized Children: Policies, Impacts and Global lessons. Routledge.

Kafka, Judith. 'Inequality in education', in *The Oxford handbook of the history of education,*Eds.John L. Rury and Eileen H. Tamura (Oxford: Oxford University Press, 2019).

Lewis, W. A., 1982. Upward Mobility, in in *Racial Conflict and Economic Development*, Harvard University Press.

Pak, Yoon K. 'Race and ethnicity in education history', in *The Oxford handbook of the history of education,*Eds.John L. Rury and Eileen H. Tamura (Oxford: Oxford University Press, 2019).

West, E. G. (1964). ‘Private versus Public Education: a Classical Economic Dispute’, Journal of Political Economy. Vol LXXII, No 5, October.

Discussion Class readings

Ball, Stephen J., Diane Reay, and Miriam David. "'Ethnic Choosing': minority ethnic students, social class and higher education choice." *Race ethnicity and education* 5.4 (2002): 333-357.

Baker, Jayne. "No Ivies, Oxbridge, or grandes écoles: Constructing distinctions in university choice." *British Journal of Sociology of Education* 35.6 (2014): 914-932.

Boliver, Vikki. "Misplaced optimism: How higher education reproduces rather than reduces social inequality." *British Journal of Sociology of Education* 38.3 (2017): 423-432.

**Lecture 9: Thinking Differently: Top Down or Bottom Up?**

Lecturer: Dr. Shailaja Fennell (Thursday, 20th January, 9-11am)

This lecture will examine the different modes of thinking about institutional and disciplinary paradigms to analyse development programmes. This will begin with a review of various perspectives on improving human development outcomes for individuals and the communities in which they live. This will be followed by considering the role that international institutions play in designing development policies. The lecture will conclude by an evaluation of top down and bottom up institutional analysis.

Hickey, S., Sen, K. and Bukenya, B. (eds.) (2014). *The Politics of Inclusive Development: Interrogating the Evidence*. Oxford University Press

Hirschman, A., 1984. Inverted Sequences, in *Getting Ahead Collectively*, Pergamon Press.

Nee, V., 2010. Bottom Up Economic Development and the Role of the State, <http://people.soc.cornell.edu/nee/pubs/bottomupecondevandstate.pdf>

Rajagopal, B., 2003. International Law from Below: Development, Social Movements and Third World Resistance (especially chapters 7 and 8)   
<https://doi.org/10.1017/CBO9780511494079>

Santiso, J., 2000. Hirschman’s view of development or the art of trespassing or self-subversion, CEPAL Review, 70, <https://core.ac.uk/download/pdf/45624174.pdf>

Satterthwaite, D. et.al. 2020. Building Resilience to Climate Change in Informal Settlements, *One Earth*, vol 2, no. 2, DOI:<https://doi.org/10.1016/j.oneear.2020.02.002>

Discussion Class readings

Annamalai, T. et. al. 2016. What is the Evidence on Top Down and Bottom Up Approaches

In Improving Access to Water, Sanitation and Electricity Services in Low Income of Informal Settlements, <https://assets.publishing.service.gov.uk/media/5832d330e5274a7022000015/SR_-_Q7_Final_Draft_for_Publication.pdf>

Mitlin, D., and S. Bartlett, 2018. Co-Production: Key ideas, Environment and Urbanisation, [https://doi.org/10.1177/0956247818791931](https://doi.org/10.1177%2F0956247818791931)

**Lecture 10: Livelihoods and Communities**

Lecturer: Dr. Shailaja Fennell (Thursday, 27th January, 9-11am)

This lecture will examine the generation of livelihoods to understand how individuals access resources and information to produce income. The central role of assets and social relations set out in the Livelihoods framework will be reviewed and the impact of the framework on policy making will be analysed. This will be followed an examination of forms of vulnerability experienced by marginalised communities and due to inadequate livelihood generation in the face of difficult environmental environments. The lecture will conclude by exploring how institutional mechanisms could be deployed to improve livelihoods.

Agarwal, A., et. al. Livelihoods and Poverty, IPPC report, ch 13.

Chambers, R., 1995. Poverty and livelihoods: whose reality counts*? Environment and Urbanization*, Vol. 7, No. 1, <https://journals.sagepub.com/doi/pdf/10.1177/095624789500700106>

Fraser, E., A. Dougill, K. Hubacek, C. Quinn, J. Sendzimir, and M. Termansen, 2011. Assessing Vulnerability to Climate Change in Dryland Livelihood Systems: Conceptual Challenges and Interdisciplinary Solutions, Special Issue, *Ecology and Society*, <https://www.ecologyandsociety.org/issues/view.php?sf=52>

<https://www.ids.ac.uk/publications/sustainable-rural-livelihoods-a-framework-for-analysis/>

<https://www.ipcc.ch/site/assets/uploads/2018/02/WGIIAR5-Chap13_FINAL.pdf>

Ketilson, L. H., Developing and Sustaining Communities: the role of co-operatives, in (eds.) Michie, J., J. R. Blasi and C. Borzaga, [The Oxford Handbook of Mutual, Co-Operative, and Co-Owned Business](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199684977.001.0001/oxfordhb-9780199684977)

Scoones, I., 1998. Sustainable Rural Livelihoods: A Framework for Analysis

Discussion Class readings

Smith, L. E. (2004). Assessment of the contribution of irrigation to poverty reduction and sustainable livelihoods. *International journal of water resources development*, *20*(2), 243-257.

Kusters, K., Achdiawan, R., Belcher, B., & Pérez, M. R. (2006). Balancing development and conservation? An assessment of livelihood and environmental outcomes of nontimber forest product trade in Asia, Africa, and Latin America. *Ecology and Society*, *11*(2).

**Lecture 11: Informality and Markets**

Lecturer: Dr. Shailaja Fennell (Thursday, 3rd February, 9-11am)

This lecture will examine the concept of informality and the implications for contracts and livelihoods in the urban environment. The lecture will begin with types of employment available in the informal sector and the social implications of being an ‘informal’ citizen in relation to accessing services. This will be followed by an investigation of the relationship between the features of informality, such as lack of legal rights, and the need to access informal institutions to support lives and livelihoods in cities in the Global South. The lecture will end with an evaluation of employment opportunities for youth populations.

De Soto, H., 2000. *The Mystery of Capital: Why Capitalism Triumphs in the West and Fails Everywhere Else,* New York: Basic Books and London: Bantam Press/Random House.

in a shortened version of chapter 3 can be read in Finance and Development <https://www.imf.org/external/pubs/ft/fandd/2001/03/desoto.htm>

Fennell, S., 2020. Youth Employment, Informality, and Precarity in the Global South, in Sharlene Swartz, Adam Cooper, Clarence M. Batan, and Laura Kropff Causa (eds.)  [The Oxford Handbook of Global South Youth Studies](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780190930028.001.0001/oxfordhb-9780190930028)

Guha Khasnobis, B., R. Kanbur and E. Ostrom, *Linking the Formal and Informal Economy*, Oxford University Press. DOI:10.1093/0199204764.001.0001

(particularly chapters by Hart, Sindzingre and Chen)

Helmke, G., and S. Levitsky, 2004. Informal Institutions and Comparative Politics: A Research Agenda, *Perspectives on Politics*, Vol. 2, No. 4, pp. 725-740. <https://www.jstor.org/stable/3688540>

Hillenkamp, I., F. Lapeyre, and A. Lemaître, 2013. *Securing Livelihoods: Informal Economy Practices and Institutions*, Oxford University Press.

DOI:10.1093/acprof:oso/9780199687015.001.0001

Meagher, K., 2007. Special Issue on 'Informal Institutions and Development in Africa,

*Africa Spectrum* Vol. 42, No. 3, (particularly the introduction: Informal Institutions and Development in Africa, pp. 405-418) <https://www.jstor.org/stable/40175202>

Kanbur, R., 2018. Informality: Causes, Consequences and Policy Responses, Journal of Development Economics, 21:939–961. <https://doi.org/10.1111/rode.12321>

Auerbach, A., A. LeBas, Post, Shapiro., 2018. State, Society and Informality in Cites in the Global South, published in Studies in Comparative International Development, St Comp Int Dev (2018) 53:261–280 <https://doi.org/10.1007/s12116-018-9269-y>

(the submitted draft version is available here <https://escholarship.org/content/qt8s80p3kb/qt8s80p3kb_noSplash_b076f0455e15083166c768231c03ac17.pdf>)

Discussion Class readings

La Porta, R., & Shleifer, A. (2014). Informality and development. *Journal of Economic Perspectives*, *28*(3), 109-26.

Beard, V., 2018. Community-based planning, collective action and the challenges of confronting urban poverty in Southeast Asia, Environment and Urbanization, [https://doi.org/10.1177/0956247818804453](https://doi.org/10.1177%2F0956247818804453)

**Lecture 12: Networks, Information and Employment**

Lecturer: Dr. Shailaja Fennell (Thursday, 10th February, 9-11am)

This lecture will examine the role of networks in accessing information and how it impacts the behavioural choices made by individuals. There will be a focus on how the growth in technology platforms in reducing information asymmetries faced by individuals and communities. The lecture will use a transaction costs analysis to examine the impact of technology and information of search for income, employment and social mobility.

Pfotenhauer, S., S. Jasanoff. 2017. Panacea or diagnosis? Imaginaries of innovation and the ‘MIT model’ in three political cultures, : <https://doi.org/10.1177/0306312717706110>

Romer, P., 2010. Technologies, Rules and Progress. The Case of Charter Cities, <https://www.files.ethz.ch/isn/113646/1423916_file_TechnologyRulesProgress_FINAL.pdf>

Scoones, I., Agricultural Futures: The Politics of Knowledge, in R. J. Herring (ed.)[The Oxford Handbook of Food, Politics, and Society](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780195397772.001.0001/oxfordhb-9780195397772)

Woolcock, M., and D. Narayan. 2000. Social Capital, Implications for Development Theory, Research and Policy. *The World Bank Research Observer*, Volume 15, Issue 2, August 2000, Pages 225–249, <https://doi.org/10.1093/wbro/15.2.225>

World Development Report, Digital Dividends, <http://documents1.worldbank.org/curated/en/896971468194972881/pdf/102725-PUB-Replacement-PUBLIC.pdf>

Discussion Class readings

McCabe, A., et. al., 2013. Making the Links: Poverty, Ethnicity and Local Networks,

https://www.jrf.org.uk/sites/default/files/jrf/migrated/files/poverty-ethnicity-social-networks-full\_0.pdf

Tadesse, G., and G. Bahigwat, 2015. Mobile Phones and Farmer’s Decision Making in Ethiopia, *World Development*, Vol. 68, pp. 296–307, <https://doi.org/10.1016/j.worlddev.2014.12.010>

**Lecture 13: Institutional Reform and International Organisations**

Lecturer: Dr. Shailaja Fennell (Thursday, 17th February, 9-11am)

This lecture will look at the deployment of institutional reforms by international organisations. It will examine the case of Structural Adjustment Programmes (SAP), and public service delivery undertaken by the World Bank to examine the impact of moving away from state directed development as well as the adoption of new forms of contracting with civil society to deliver development. There will evaluate of the stated development outcomes of improving efficiency and reducing costs by using new forms of institutional design and management, in light of the critiques and evidence of the effectiveness of the programmes.

Birdsall, N., 2010 Assessing a Damaged Brand, World Bank working paper 5316, <http://documents1.worldbank.org/curated/en/848411468156560921/pdf/WPS5316.pdf>, also published in Ocampo and Ros (eds.) Oxford Handbook for Latin American Economies, [The Oxford Handbook of Latin American Economics](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199571048.001.0001/oxfordhb-9780199571048)

Fine, B., and Saad-Filho, 2004. Politics of Neoliberal Development: Washington Consensus and post-Washington Consensus, <https://eprints.soas.ac.uk/19252/1/Politics%20of%20Neoliberal%20Development_%20Washington%20Consensus%20and%20the%20post-Washington%20Consensus.pdf>

[Gary Herrigel and Jonathan Zeitlin, 'Inter‐Firm Relations in Global Manufacturing: Disintegrated Production and Its Globalization', in *The Oxford Handbook of Comparative Institutional Analysis*](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199233762.001.0001/oxfordhb-9780199233762-e-19)

Ostrom, V., and E., Ostrom, 2004. The Question for Meaning in Public Choice, American Journal of Economics and Sociology, 63, 105-147, <https://doi.org/10.1111/j.1536-7150.2004.00277.x>

Thomson, M., A., Kentikelenis, and T. Stubbs, 2017. Structural Adjustment Programmes adversely affect vulnerable populations, *Public Health Reviews*, 38:13 DOI 10.1186/s40985-017-0059-2

World Development Report, 2004. Making Services Work for the Poor, <https://openknowledge.worldbank.org/bitstream/handle/10986/5986/WDR%202004%20-%20English.pdf>

Discussion Class readings

Morgan-Foster, J., The Relationship of IMF Structural Adjustment Programs to Economic, Social, and Cultural Rights: The Argentine Case Revisited, 24 MICH. J. INT'L L. 577 (2003). Available at: https://repository.law.umich.edu/mjil/vol24/iss2/3

Ong, A., 2007. Neoliberalism as a Mobile Technology, *Transactions of the Institute of British Geographers* , Vol. 32, No. 1 (Jan., 2007), pp. 3-\*, <https://www.jstor.org/stable/4639996>

**Lecture 14: Health and Well-Being**

Lecturer: Dr. Shailaja Fennell (Thursday, 24th February, 9-11am)

This lecture examines the link between institutions and health outcomes. There will be an examination of the provision and distribution of health services and the implications for individual well-being and social welfare. The lecture will also examine the impact of different institutional understandings of heath: that of an individual good that suffers from market failure, to that of a public good and that of a relationship feature that is best understood as bio-psycho-socio model. There will also be an examination on responses to the Covid-19 pandemic and the outcomes of different institutional systems of monitoring and management of health services.

Ahmed, F., N. Ahmed, C. Pissarides and J. Stiglitz, 2020. Why inequality could spread COVID-19, *Lancet Public Health,* May 2020, Vol 5, N0. 5. 235-296.

Besley, T., and K. Masayuki. 2006. Health and democracy. *American Economic Review*, 96 (2). pp. 313-318. DOI: 10.1257/000282806777212053

Deaton, A., 2003. Health, Inequality and Economic Development, *Journal of Economic Literature*, Vol. XLI March 2003) pp. 113–158, DOI: 10.1257/002205103321544710

Pickett, K., and R. Wilkinson, 2010. *The Spirit Level: Why Equality is Better for Everyone*. Penguin.

The Marmot Report, Health Equity in England, <https://www.health.org.uk/publications/reports/the-marmot-review-10-years-on>

WHO, 2020. Global Nutrition Report, 2020. <https://globalnutritionreport.org/reports/2020-global-nutrition-report/>

WHO, Commission for the Social Determinants of Health, <https://www.who.int/social_determinants/thecommission/finalreport/en/>

Discussion Class readings

Deaton, A., 2015. *The Great Escape: Health, Wealth and the Origins of Inequality*, Princeton University Press.

Sen, G., A. George, P. Osklin, 2002. Gender Equity in Health Research, Journal of Health Management, <https://doi.org/10.1177/097206340200400202>

**Lecture 15: Biodiversity, Climate Change and Sustainability**

Lecturer: Dr. Shailaja Fennell (Thursday, 3th March, 9-11am)

This lecture will critique national and international institutional responses to problems of collective action. It will examine the global concern about biodiversity collapse and the implications for the management of the biodiversity within nations in the Global South. The lecture will return to the question of collective action, and use the case of global agendas for climate change to investigate the relationship between local, national and global collective action platforms and how rules made at one level do not easily scale up or down.

Diamond, J. (2005). *Collapse: how societies choose to fail or succeed*. Viking Press.

Dryzek, J., R. Norgaard, and D. Schlosberg, 2011. The Oxford Handbook of Climate Change and Society, DOI: 10.1093/oxfordhb/9780199566600.001.0001. (especially chapter by Jasanoff)

<https://shibbolethsp.jstor.org/start?entityID=https%3A%2F%2Fshib.raven.cam.ac.uk%2Fshibboleth&dest=https://www.jstor.org/stable/43741286&site=jstor>

Johnstone, M. F., 2019. Strong (green) institutions in weak states: Environmental governance and human (in) security in the Global South, World Development, 122, 433-445, <https://doi.org/10.1016/j.worlddev.2019.06.010>  
Sagoff, M. (2008). *Economy of the Earth*. Cambridge University Press.   
<https://doi.org/10.1017/CBO9780511817472>

King, D., et. al. 2012. Future Trajectories of Climate Change Negotiations and their implications for South Africa. <https://www.smithschool.ox.ac.uk/publications/reports/future-trajectories-of-climate-change-negotiations-and-their-implications-for-South-Africa.pdf>

Swanson, T., and B. Groom, 2012, Regulating Global Biodiversity: What is the problem? *Oxford Review of Economic Policy*, 28, 1, 114-138

World Wildlife Fund, 2020. Living Planet Report, 2020. <https://www.zsl.org/sites/default/files/LPR%202020%20Full%20report.pdf>

Discussion Class readings

Garibaldi, L. A., Gemmill-Herren, B., D’Annolfo, R., Graeub, B. E., Cunningham, S. A., & Breeze, T. D. 2017. Farming approaches for greater biodiversity, livelihoods, and food security. *Trends in ecology & evolution*, *32*(1), 68-80.

Bennett, N. J., & Dearden, P. 2014. Why local people do not support conservation: Community perceptions of marine protected area livelihood impacts, governance and management in Thailand. *Marine policy*, *44*, 107-116.

**Lecture 16: International Agendas and Institutional Change**

Lecturer: Dr. Shailaja Fennell (Thursday, 10th March, 9-11am)

This lecture will examine the agenda of the SDGs, and review the impact of a global compact of development policy making. The lecture will look at the institutional agendas of global players on sustainability challenges in the Global South. There also will also be a review of the consequences of the different approaches adopted by players such as the World Bank and individual UN institutions.

Fukuda Parr, S., and B. Mucchala, 2020. The Southern Origins of SDGs: Ideas, Actors, Inspirations, *World Development*, 126 , <https://doi.org/10.1016/j.worlddev.2019.104706>

Higgott, R.[, 'International Political Institutions', in *The Oxford Handbook of Political Institutions*](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199548460.001.0001/oxfordhb-9780199548460-e-31)

Horn, P., and J. Grugel, 2018. The SDGs in middle-income countries: Setting or serving domestic development agendas? Evidence from Ecuador, *World Development*, [Volume 109](https://www.sciencedirect.com/science/journal/0305750X/109/supp/C),  Pages 73-84, <https://doi.org/10.1016/j.worlddev.2018.04.005>

Ikenberry, [G. John, 'The Rise, Character, and Evolution of International Order', in *The Oxford Handbook of Historical Institutionalism*](https://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780199662814.001.0001/oxfordhb-9780199662814-e-32)

Kroll, C., A. Warchold, and P. Pradhan, 2019. Sustainable Development Goals (SDGs): Are we successful in turning trade-offs into synergies? [*Palgrave Communications*](https://www.nature.com/palcomms) volume 5, Article number: 140, <https://www.nature.com/articles/s41599-019-0335-5>

Waldmueller, J. M., 2015. Agriculture, knowledge and the ‘colonial matrix of power’: approaching sustainabilities from the Global South, *Journal of Global Ethics*, 11:3, 294-302. <http://dx.doi.org/10.1080/17449626.2015.1084523>

Discussion Class readings

Spangenberg, Joachim H. "Hot air or comprehensive progress? A critical assessment of the SDGs." *Sustainable Development* 25.4 (2017): 311-321.

Mawdsley, Emma. "From billions to trillions’ Financing the SDGs in a world ‘beyond aid." *Dialogues in Human Geography* 8.2 (2018): 19